

S R K INDUSTRIES LIMITED

CIN : L17121MH1991PLC257750

Registered Office : 310, V Star Plaza, Chandarvarkar Road, Borivali (West), Mumbai - 400 092 (India)
Phone : 022-4023 5742 • Email : info.investors@srkindltd.co.in • Website : www.srkindltd.co.in

May 30, 2019

To,
The Department of Corporate Services,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort
Mumbai - 400 001

Scrip Code: 531307 / Scrip ID: SRK

Sub: Disclosure under Regulation 24A of SEBI ((Listing Obligations and Disclosure Requirements) Regulation 2015 ("Listing Regulations"))


Dear Sirs,

In terms of the provisions of Regulation 24A of listing Regulations and SEBI Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, we hereby submitting the "**Annual Secretarial Compliance Report**" of the Company for the year ended 31st March, 2019 issued by Shreyans Jain & Co., Practising Company Secretaries.

The above is for your kind information and record. You are requested to acknowledge the receipt.

Thanking you,

Yours Faithfully
For S R K Industries Limited


Shilpa Doshiya
Company Secretary
A45953

Encl.: a/a



Shreyans Jain & Co.

Company Secretaries

Off: 117, Hubtown Solaris, N. S. Phadke Marg, Near East West Flyover,
Andheri (East), Mumbai- 400 069, Maharashtra
Tel: 022 26844495 / 97; email: shreyanscs@gmail.com

SECRETARIAL COMPLIANCE REPORT OF S R K INDUSTRIES LIMITED FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2019

[Under Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

We Shreyans Jain & Co., Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by **S R K Industries Limited** ("the listed entity");
- (b) the filings and submissions made by the listed entity to the BSE Limited. ("the stock exchange");
- (c) website of the listed entity;

for the financial year ended 31st March, 2019 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;





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- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: [Not applicable during the Review Period].
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: [Not applicable during the Review Period].
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: [Not applicable during the Review Period].
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013: [Not applicable during the Review Period].
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015:
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018:

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
01.	Under Regulation 13(3) of the SEBI (LODR) Regulations 2015 the Company to submit statement of investor complaints within 21 days from the end of each quarter to Stock Exchange.	The Statement of Investor Complaint for quarter ended 31.03.2018 was submitted with delay of 23 days.	The company had submitted statement of investor complaints to Stock Exchange dated May 14, 2018; however the due date for submission was 21.04.2018.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder insofar as it appears from our examination of those records.





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- (c) No instance took place which require actions to be taken against Listed entity / It's promoters / directors and its material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder.
- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				
Note: The Secretarial Compliance Report was introduced by the SEBI vide Circular No. CIR/CFD/CMDI/27/2019 dated 8 th February 2019 from the financial year 2018-19. Accordingly, this being the first financial year of applicability of this Report, the previous year's relevant information is not available. Hence, the above reporting is not Applicable.				

For **Shreyans Jain & Co.**
Company Secretaries



Place: *Mumbai*
Date: *29/5/2019*

Shreyans Jain
(Proprietor)
FCS No. 8519
C.P. No. 9801